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Mahā Sakul'udāyī Sutta

The Greater Discourse to Sakul'udāyī | **M 77**,15-21 (excerpt)¹. Full version, SD 49.5 Theme: The 37 limbs of awakening Translated by Piya Tan ©2003

1 The 37 limbs of awakening

1.1 THE "LIMBS" IN THE PĀLI CANON. The Pali term bodhi, pakkhiya, dhammā literally means "states on the side of awakening," that is, those things conducive to spiritual liberation. Although the phrase is very rare in the Suttas, it is a common term for the 37 factors, which is a comprehensive list of the Buddha's teaching in terms of doctrine, found in a number of early Sūtras and Vinaya texts. These factors are sometimes translated as "requisites of awakening" because they necessary for the attaining of awakening, that is, the knowledge of the four supramundane paths (streamwinning, once-returning, non-returning, arhathood). These 37 factors are divided into 7 sets, which we will look at in this study.

The 37 limbs of awakening (satta, timsa bodhi, pakkhiyā dhammā) are mentioned as a set some 74 times in the Vinaya Piṭaka and the Nikāyas, representing some 27 distinct occasions when these 7 sets of teachings are cited.³ In the Mahā Sakul'udāyī Sutta (M 77), five reasons are given why the Buddha's disciples esteem and rely on him (M 77,10/2:9). The fifth of these reasons is that the Buddha explains to his disciples the various spiritual paths (M 77,15-37/211-22).⁴ The first 7 sections [§§15-21] of this teaching deal with wholesome states that are as a set is known as "the limbs of awakening," but not so mentioned there. These limbs are so called (and explained) in the Visuddhi, magga (Vism 22.33-43/678-682) as comprising the following 7 sets of teachings:⁵

The 4 focuses of mindfulness	cattāro sati'paṭṭḥāna	D 22; M 10
The 4 right strivings	cattāro padhāna	M 2:11
The 4 bases of spiritual success [powers]	cattāro iddhi,pāda	M 1:103
The 5 spiritual faculties	pañc'indriya	M 2:12
The 5 spiritual powers	pañca bala	M 2:12
The 7 awakening-factors	satta bojjhaṅga	M 1:11
The noble eightfold path	ariy'aṭṭh'aṅgika magga	D 2:311 f

These limbs of awakening are so called because they help us to gain awakening. Each of these 7 sets are studied in greater detail in **SD 10**. However, it is helpful to start here to have some basic understanding of these teachings.

¹ For the full tr, see SD 49.5.

 $^{^2}$ V 2:240, 3:93, 4:26; D 16/2:120, 28/3:102, 29/3:127 f; M 77/2:11 f, 103/2:238 f; S 22.81/3:96, 22.101/3:153 f, 45.155/5:49 f; A 7.67.1/4:125-127, 8.19.17/4:203, 10.90/5:175 f, U 56; MĀ: T476c20-25, T753c6-7; T7 (Mahā-parinirvāṇa Sūtra tr), 193a2-3; SĀ: T67a28-c1, T87c2-5; cf EĀ: T763b1 f.

 $^{^3}$ Gethin (2001:229) gives the following references: V 2:236-241 = A 4:197-294 = 204-208 = U 51-64 (4 "times"; 1 "occasion"). V 3:93, 94, 95, 97 (4 "times," 1 "occasion"). D 2:120; 3:102, 127 (3 "times"; 3 "occasions"). M 2:11, 238, 245; 3:81; 289, 196 (6 "times"; 6 "occasions"); S 3:96, 153 f; 4:359-373 (34 "times"); 5 49 f, *54 (3 times), *135 f, *138, *191, *240, *242, *246, *250, *252, *291, *308 f (50 "times"; 11 "occasions"). A 1:39 f, 295-297; 4:125-127; 5:175 (4 "times"; 4 "occasions"). An asterisk before a reference indicates that the occurrence is lost in the abbreviation of the text.

⁴ See Gethin 2001:266 f.

⁵ For a more detailed study of these <u>7 sets</u>, see SD 10. The mnemonic for memorizing this set of 7 is "Triple 4, double 5, 7-8."

1.2 IMPORTANCE OF THE "7 SETS" FORMULA. As mentioned earlier, the list of the 7 sets are found in a number of early Buddhist Sūtras and Vinaya texts [1.1]. These 7 sets in fact form the Buddha's teaching in a nutshell, his central teachings common to all the early schools. Johannes Bronkhorst goes on to note that

It seems clear that this is an early, perhaps the earliest, list of the type that came to be called $m\bar{a}t_{!}k\bar{a}/P$ $m\bar{a}t_{!}k\bar{a}$ and formed the basis for the later Abhidharma works. The connexion between this list and Abhidharma seems confirmed by a passage in the *Kinti Sutta* (M 2:239) which speaks of monks who have been trained in the items of this list and then disagree about Abhidharma.⁷ (Bronkhorst, "Dharma and Abhidharma," 1985:305)

The Kinti Sutta (M 103) records that the Buddha, after listing the 7 sets, declares to the monks (and all practioners) that

in these things you should all train in concord, with mutual appreciation, without disputing.

While you are training in concord, with mutual appreciation, without disputing, two monks might make different assertions with regard to the Dharma (abhidhamme)...

(M 103,3-4/2:239 f)

The Buddha goes on to point out how the monks should settle any differences regarding the spirit [meaning] (attha) or the letter [phrasing] (vyañjana) of the teaching by amicably reasoning them out. The Majjhima Commentary glosses abhidhamme as referring to the 7 sets (MA 4:29). This important passage⁸ on the resolution of doctrinal problems is a good example of early Buddhist hermeneutics is found in a slightly expanded version in **the Pāsādika Sutta** (D 29).⁹

2 Satipaţţhāna [§15]

Satipaṭṭhāna is properly resolved as sati (mindfulness) + upaṭṭhāna (application), although paṭṭhāna (setting up, foundation), too, gives it a similar sense. In practical terms, the word "focus" best describes both the actions and the results when satipaṭṭhāna is applied. The four focuses of mindfulness are: the contemplation of the body (kāyânupassanā); the contemplation of feeling (vedanā'nupassanā), the contemplation of mind (cittânupassanā) and the contemplation of phenomena (dhammânupassana).

<u>The 4 focuses of mindfulness</u> form a complete system of mindfulness ("meditation") practice for the development of calm and insight. The method is given at length in **the Mahā Satipaṭṭhāna Sutta** (D 22) and **the Satipaṭṭhāna Sutta** (M 10), and mentioned in a collection of short suttas forming **the Satipaṭṭhāna Saṁyutta** (S 47).¹⁰

⁶ See J Bronkhorst, "Dharma and Abhidharma," 1982:305.

⁷ "Perhaps *abhi dhamme* in this passage must be understood as two words, 'as regards the *dhamma*'; cf CPD sv *abhidhamma*." (Bronkhorst's fn)

⁸ M 103,5-8/2:239-241.

⁹ D 29,18-21/3:128 f. Another famous early hermeutical tool are the 4 "great references" (*mahāpadesa*), of which there are two, the **Vinaya** version (V 1:250, 2:118) and the Sutta version (D 16.4.7-11/2:123 f; A 4.180-/2:167-170). See **Mahā,parinibbāna S** (D 16), SD 9 (11).

¹⁰ For the sutta and its comys, see Soma Thera, *The Way of Mindfulness*, Kandy: Buddhist Publication Society, 1981. An easy modern approach is found in Nyanaponika, *The Heart of Buddhist Meditation*, London: Rider, 1962, & U Silananda, *The Four Foundations of Mindfulness*, Boston: Wisdom Books, 1990.

3 Padhāna [§16]

Padhāna is a synonym of vāyāma, both meaning "effort." While the former, as samma-p,padhāna, is used for the 4 right (or "supreme") efforts as an independent set (as in the Mahā Sakul'udāyī Sutta), the latter, sammā,vāyāma is applied only as a subset (the sixth factor) of the noble eightfold path. It is actually only one mental factor, effort (viriya), but performs four functions. The 4 right efforts or strivings are:

- (1) The effort to discard bad states that have arisen.
- (2) The effort to prevent the arising of unarisen bad states.
- (3) The effort to cultivate the arising of unarisen wholesome states.
- (4) The effort to maintain wholesome states that have arisen.

The commentarial listing inverts the first two efforts. 11

<u>The 4 right strivings</u> are explained in **the Samma-p,padhāna Vibhaṅga** (Vbh ch 8/208-215) and fully commented on in its Commentary (VbhA 288-302). See also the Commentaries to **the Mahā Sakul'udāyī Sutta** (MA 3:243 ff) and **the Padhāna Sutta** (A 2.1/1:47-49; AA 2:93).

4 Iddhi,pāda [§17]

The word *iddhi* here signifies all spiritual and supramundane states that one needs to master in the practice of the Buddha's teaching. The main method of mastering the Buddha's teaching is called <u>the bases of spiritual success</u> (*iddhi*, *pāda*), also variously translated as "the means of accomplishment" and "the path to spiritual power." The four bases of spiritual success are (1) the desire to act (*chanda*), (2) effort (*viriya*), (3) mind or consciousness (*citta*) and (4) investigation (ie wisdom) (*vīmaṃsā*).

In post-canonical Buddhist psychology, they are also called "the four predominants" (cattāro adhi-patī), that is, factors that dominate their conascent states (that arise together) in undertaking and accomplishing difficult or important tasks. A predominant had total control of the whole citta (mindmoment), while a faculty (indriya) [5] controls only in its respective sphere. As such, several faculties may be present in a single citta, but only one predominant can be present at any time.¹²

The $iddhi, p\bar{a}d\bar{a}$ exists on both mundane and supramundane states. On a more worldly level, the $iddhi, p\bar{a}d\bar{a}$ are the "steps to success". First step to success is a proactive attitude, the desire to act. This should be followed up with the proper effort, and the perseverance of a mind fixed on the goal. As the effort progress, one should examine one's progress and adjust one strategy and energy according to the investigation.

The 4 bases of spiritual success are explained in the **Iddhi,pāda Vibhaṅga** (Vbh ch 9/216-276) and its Commentary (VbhA 303-308), and briefly commented on in the Commentary to **the Ceto,khila Sutta** (M 16; MA 2:69).

¹¹ Eg Abhs 7.6 = Abhs:SR 179 = Abhs:BRS 279. For the Pāli, see §16n below.

¹² The four predominants (*adhipati*) are the mental factors (*cetasika*) desire (*chanda*, the desire to act), effort (*viriya*), consciousness (*citta*), and investigation (*vīmaṁsā*, ie wisdom). See *A Comprehensive Manual of Abhidhamma* (Abhs:BRS) 274 f for other details.

¹³ Narada Thera, in his *A Manual of Abhidhamma* (tr of Abhs), 4th rev ed 1979:344, errs in saying that "only when these four are present in the supramundane consciousness are they termed Iddhipāda." See Ledi Sayadaw, *The Requisites of Enlightenment* (Wheel 171-174, Kandy: Buddhist Publication Society, 1971) & Abhs:BRS 280 & 374 n4.

5 Indriya [§18]

The faculties (*indriya*) and the powers (*bala*) [6] comprise the same 5 factors—faith (*saddhā*), effort (*viriya*), mindfulness (*sati*), concentration (*samādhi*) and wisdom ($pa\tilde{n}\tilde{n}\tilde{a}$)—but they function differently. When the "faculties" *control their respective sphere*, the same "powers" are *unshakable by their opposites*. As such, the 5 faculties exercise control in their respective spheres of resolution (*adhimokkha*), exertion (*paggaha*), awareness (*upaṭṭhāna*), non-distraction (*avikkhepa*) and discernment [vision] (*dassana*), which help to overcome their respective opposites of indecision, laziness, heedlessness, agitation and delusion.

In the cultivation of the faculties, that is, in spiritual practice, faith and wisdom are to be balanced so as to avoid blind belief and mere intellectual cleverness; effort and concentration are to be balanced to avoid mental restlessness and sluggishness. Mindfulness is the key factor that keeps all the other factors in balance and harmony.

<u>The 5 spiritual faculties</u> often appear in the Canon but are not explained in detail in the Nikāyas¹⁴ or the Abhidhamma Commentaries and only briefly explained in **the Visuddhi,magga** (Vism 22.35, 37/679).

6 Bala [§19]

<u>The 5 spiritual powers</u>, ¹⁵ like the spiritual faculties [5], are not dealt with in detail in the Nikāyas or the Abhidhamma Commentaries, and only briefly explained in **the Visuddhi,magga** (Vism 22.36 f/679).

Although there is no detailed mention of the spiritual faculties and the spiritual powers in the Vinaya and Nikāyas, they actually constitute some of the most basic Buddhist doctrines as evident from the table in the Introduction above. While a faculty (*indriya*) is essentially active but a power (*bala*) passive, the latter is "unshakable and unassailable by its opposite" (Gethin 2001:145)¹⁷

7 Bojjhanga [§20]

The 7 awakening-factors ¹⁸ are (1) mindfulness (sati); (2) investigation of states (dhamma,vicaya); (3) effort (viriya); (4) zest (joyful interest) ($p\bar{t}ti$); (5) tranquillity (passaddhi); (6) concentration ($sam\bar{a}dhi$); and (7) equanimity ($upekkh\bar{a}$). They are commented on in the Commentary to **the Mūla,pariyāya Sutta** (MA 1:82-84). Of the seven factors, "investigation of states" (dhamma,vicaya), that is, insight into material and mental states as they really are, is a designation for wisdom ($pa\tilde{n}\tilde{n}\bar{a}$). "Tranquillity" (passaddhi) means calm both of consciousness (citta,passaddhi) and of the mental body ($k\bar{a}ya,passaddhi$). ¹⁹ Equanimity ($upekkh\bar{a}$) here means equipoise or mental neutrality ($tatra,majjhattat\bar{a}$), one of the universal beautiful (sobhana) cetasikas, and not worldly neutral feeling.

¹⁴ Gethin 2001:355 f (App) gives the occurrences of the spiritual faculties (3/4/5 etc) thus: V 1:294; D 3:239, 278, 284; M 1:19 f, 164, 479; S 5:193-204, 219-243; A 1:42-44, 118 f, 2:141, 149-152, 3:277 f, 281 f, 4:225, 264-266, 5:56; Tha 352, 437, 595, 672, 1114; Thī 170 f. Nm 115, 233; Pm 1-34. Dhs passim; Vbh 122-34; Kvu 589-592; Yam: Indriya,yamaka; Paṭ passim; Peṭk 37, 41, [48, 51 f, 69,] 71 f, 88, 97 f, 128 f, 171, 183, 185 f; Nett 7, 19, 28, 100 f; Miln 33 ff, 43. Corrections and additions are given within square brackets.

¹⁵ Gethin 2001:356 (App) gives the occurrences of the spiritual powers (2/4/5/7 etc) thus: V 1:294; D 3:213, 229, 253; S 5:249-253; A 2:141, 3:10-12, 245, 277 f, 281 f, 4:3 f. Tha 352, 437, 494, 672, 1114; [Thī] 170 f.; Nm 14, 151; Pm 2:166-176; Peṭk 37, 79, 179, 189; Nett 100 f. Corrections and additions are given within square brackets.

¹⁶ On the ubiquity of the spiritual faculties, see Gethin 2001:138-140.

¹⁷ See Gethin 2001:140-145.

¹⁸ See Gethin 2001:146-189 (ch 5).

¹⁹ See Abhs:BRS 85-88, 281.

The three factors of investigation (dhamma,vicaya), effort (viriya) and zest ($p\bar{t}i$) counteract torpor. The three factors of tranquillity (passaddhi), concentration ($sam\bar{a}dhi$) and equanimity ($upekkh\bar{a}$) counteract mental agitation. Mindfulness (sati) keeps the two sets of factors in a harmonious balance.

8 Magga [§21]

The noble eightfold path comprises the following factors:

(1) right view, sammā diţţhi (2) right thought, sammā saṅkappa (3) right speech, sammā vācā (4) right action, sammā kammantā (5) right livelihood, sammā ājīva (6) right effort, sammā vāyāma (7) right mindfulness, and sammā sati (8) right concentration. sammā samādhi

The Mahā Cattārīsaka S (M 117) says that right view (sammā,diṭṭhi) comes first because it underlies each and every other factor, without which, the factor cannot be "right" (sammā), that is, contributive to the going up the path to liberation. 20 Right view is also the cetasika of wisdom effecting the proper understanding of the 4 noble truths. Right thought (or intention) (sammā,saṅkappa) is the cetasika of initial application (vitakka) directed toward renunciation, lovingkindness and non-violence.

Path factors (3)-(5) are identical with the three abstinences (virati), that is,

- (1) <u>Natural abstinence (sampatta, virati)</u>. This is the abstinence from bad when the opportunity arises to do so, in terms of one social position, age, educational level, etc. For example, one refrains from theft out of the concern that one's reputation would be hurt if one is caught.
- (2) <u>Abstinence by undertaking (samādāna, virati)</u>. This is the abstinence from bad when one has undertaken the precepts, such as the 5 precepts of abstaining against killing, stealing, sexual misconduct, false speech and intoxication.
- (3) <u>Abstinence by eradication (samuccheda, virati)</u>. This is the abstinence associated with the supramundane path consciousness that arises destroying the disposition towards bad. While the previous two are mundane, this is supramundane.

Each level of abstinence are effected in three ways, that is, by right speech (sammā, vācā), right action (sammā kammantā), and right livelihood (sammā ājīva).

Right effort (sammā vāyāma) is the same as the 4 strivings (padhāna) [3], also called the 4 strivings (samma-p,padhāna). Right mindfulness (sammā sati) is the same as the f4 focuses of mindfulness (satipaṭṭhāna) [2]. Right concentration (sammā samādi) is defined in terms of the 4 dhyanas (jhāna) (eg D 22/2:313).

The noble eightfold path is commented on in **the Mūla,pariyāya Sutta** Commentary (MA 1:105) and very briefly explained from a different angle in **the Magga Vibhaṅga** Commentary (VbhA 319).

An authoritative monograph on the limbs of awakening is *The Buddhist Path to Awakening* by Rupert Gethin (2001 esp chs 7-9).

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²⁰ See SD 6.10 (2004).

9 The spiritual faculties and the spiritual powers

Ṭhānissaro Bhikkhu, in *The Wings of Awakening,* has presented a table of references for **the 7 sets classed under the 5 spiritual faculties** (1996:xii), the names of which have been standardized following those used in this series:

Faith (saddhā)

Right speech (noble eightfold path)
Right action (noble eightfold path)
Right livelihood (noble eightfold path)
Zeal (desire or will-power) (base of spiritual success)

Effort (viriya)

Right effort (noble eightfold path)
Four right strivings (the four strivings)

Effort (base of spiritual success)
Effort (awakening-factor)

Mindfulness (sati)

The 4 focuses of mindfulness

Right mindfulness (noble eightfold path)
Mind (consciousness) (base of spiritual success)

Concentration (samādhi)

The 4 bases of spiritual success

Right concentration (noble eightfold path)
Zest (awakening-factor)
Tranquillity (awakening-factor)
Concentration (awakening-factor)
Equanimity (awakening-factor)

Wisdom (paññā)

Right view (noble eightfold path)
Right thought (intention) (noble eightfold path)
Investigation of states²¹ (awakening-factor)
Investigation (base of spiritual success

Investigation (base of spiritual success)

Equanimity (awakening-factor)

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²¹ "Investigation of states," or "Dharma-discernment." "Dharma" here refers to physical and mental states.

Mahā Sakul'udāyī Sutta

The Greater Discourse to Sakul'udāyī

M 77,15-21, excerpt

[1]

1 Thus have I heard.

At one time the Blessed One was staying in the squirrels' feeding-ground in the Bamboo Grove near Rājagaha.

THE 37 AWAKENING FACTORS

[11]

(1) The 4 focuses of mindfulness

15 Furthermore, Udāyī, I have taught my disciples²² the way: my disciples who practise the way cultivate **the 4 focuses of mindfulness** (*cattāro sati'patthāna*).

(1) Here, Udāyī, a monk, having put away²³ covetousness and displeasure²⁴ for the world,²⁵ dwells contemplating the body in the body,²⁶ ardent, clearly comprehending, mindful.²⁷

²² "Disciples," sāvakā, lit "listeners".

²³ Vineyya, this means that the 5 hindrances have to be abandoned *prior* to practising *satipaṭṭḥāna*. This is because the hindrances, in the form of mental impurities (*cetaso upakkilesa*), weaken wisdom (*paññāya dubbalī,-karaṇe*) (D 2:83, 3:49, 101, A 2:211, 3:93, 100, 386 f, Vbh 245, 256). In **Naṭakapāna S** (M 68), the Buddha tells Anuruddha, "While [a clansman] still does not attain to zest and joy that are secluded from sensual pleasures and secluded from unwholesome states, or to something more peaceful than that, covetousness [and the other four hindrances] will invade his mind and remain..." (M 68,6/1:463). (I thank Brahmavamso for pointing this out.)

²⁴ Abhijjhā,domanassam, which Walshe (1995:335 & n632) renders as "hankering and fretting for the world"; alt tr "longing and displeasure" or "longing and loathing". MA says that covetousness and displeasure signify the first two hindrances—sensual desire and ill will—principal hindrances to be overcome for the practice to succeed. They thus represent the contemplation of mind-objects, which begins with the 5 hindrances. Cf M 39,13/1:274; see also Mahā Satipaṭṭhāna S (D 22,13) and Satipaṭṭhāna S (M 10,36) on how to deal with the hindrances in one's meditation. The monk effects the abandoning of the hindrances by the contemplations of impermanence, fading away (of lust), cessation (of suffering) and letting go (of defilements), and thus comes to look upon the object with equanimity. On abhijjhā,domanassa, there is an interesting related passage from Pubb'eva Sambodha S (A 3.101): "Monks, before my awakening, when I was still a bodhisattva, this thought occurred to me ... 'Whatever physical and mental joy (sukha,somanassa) there is in the world, that is the gratification (assāda) in the world; that the world is impermanent, suffering and of the nature to change, that is the wretchedness or disadvantage (ādīnava) in the world—the removal and abandoning of desire and lust for the world, that is the escape from the world'." (A 3.101/1:258, pointed out to me by Robert Eddison). My understanding here regarding the naming of the first two mental hindrances as abhijjhā,domanassa is to show that with their elimination the other hindrances are eliminated, too—a view confirmed by Brahmavamso (30 March 2003).

²⁵ "World" (*loka*). **Vibhaṅga** says: "This very body is the world, and the 5 aggregates of clinging (ie form, feeling, perception, formations and consciousness) are the world—this is called the world." (Vbh 195). However, in his amplified tr at Vibh 105, U Thittila has "world (i.e., in ideational objects)" (*dhammā*, mental objects) (Vibh:T 139).

²⁶ "Contemplating the body in the body," §25 "contemplating feelings in the feelings," §26 "contemplating mind in the mind, and §27 "contemplating mind-objects in the mind-objects". In each case, they are not to be seen as "This is mine" ($etam\ mama$) (which arises through craving, $tanh\bar{a}$), or as "This I am" ($eso'ham\ asmi$) (due to conceit, $m\bar{a}na$), or as "This is my self " ($eso\ me\ att\bar{a}$) (due to wrong view, ditthi) (Anattā,lakkhaṇa S, S 3:68). In short, such experiences are not "beliefs" but direct experiences of reality. See Peter Harvey, The Selfless Mind, 1995: 32 f.

- (2) Having put away covetousness and displeasure for the world, he dwells <u>contemplating feelings</u> as <u>feelings</u>, ardent, clearly comprehending, mindful.
- (3) Having put away covetousness and displeasure for the world, he dwells <u>contemplating the mind as mind</u>, ardent, clearly comprehending, mindful.
- (4) Having put away covetousness and displeasure for the world, he dwells <u>contemplating the mind-object</u> as <u>mind-object</u>, ardent, clearly comprehending, mindful.

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.²⁸

(2) The 4 right strivings

- **16** Furthermore, Udāyi, I have taught my disciples²⁹ the way: my disciples who practise the way cultivate **the 4 strivings** (*cattāro padhāna*):³⁰
- (1) Here, Udāyī, a monk rouses the desire for the <u>non-arising</u> (samvara padhāna) of unarisen bad unwholesome states, and strivings, rouses effort, exerts the mind, and strives.
- (2) He rouses the desire for the <u>abandoning</u> (*pahāna padhāna*) of arisen bad unwholesome states, and strivings, rouses effort, exerts the mind, and strives.

²⁷ Ātāpī sampajāno satimā, vineyya loke abhijjhā,domanassaṁ here is essentially synonymous with vigatâbhijjho vigata,vyāpādo asammūļho sampajāno patissato mentioned in connection with the 4 divine abodes (brahma,-vihāra) (Kesaputtiyā S, A 3.65,15). Their application, however, differ: the former points to the result of meditation, while the latter is a part of the meditation process itself. On sampajāno satimā, see Vism 4.172-174/162 f, which explains that clear comprehension (sampajāñāa) has the characteristic of non-confusion; its function is not to investigate and manifested as scrutiny. Mindfulness (sati) has the characteristic of remembering. Its function is not to forget and is manifested as guarding. Sampajāno is also tr as "fully understanding," "clearly comprehending" (M:ÑB 1192 n147).

²⁸ "Attained to the perfection that is the peak of superknowledge," *abhiññā*, *vosāna*, *pāramī*, *pattā*, alt tr: "attained to the perfection and peak of superknowledge." Comy says that this refers to arhathood (MA 3:243). M:ÑB notes that "this may be the only sense that the word *pāramī* bears in the four Nikāyas. In the later Theravāda literature, beginning perhaps with such works as the Buddhavaṁsa, this word comes to signify the perfect virtues that a bodhisatta must fulfil over many lives in order to attain Buddhahood. In that context it corresponds to the *pāramitā* of the Mahāyāna literature, though the numerical lists of virtues overlap only in part." (M:ÑB 1284 n763). PED says that *abhiññā* has an "older wider meaning of special supernormal power of apperception and knowledge to be acquired by long training in life and thought." Later, it especially refers to one of the 6 superknowledges, won both by the Buddha (M 1:69) and by his disciples (S 1:191, 2:217, 222). On *abhiññā*, see Jayatilleke 1963:438-442. On the 6 superpowers, see **Kevaḍḍha S** (D 11), SD 1.7 Intro.

²⁹ "Disciples," sāvakā, lit "listeners".

³⁰ (1) <u>Anuppannānam</u> pāpakānam akusalānam dhammānam anuppādāya chandam janeti vāyamati viriyam ārabhati cittam paggaṇhāti padahati. (2) <u>Uppannānam</u> pāpakānam akusalānam dhammānam pahānāya chandam janeti.... (3) <u>Anuppannānam</u> kusalānam dhammānam uppādāya chandam janeti.... (4) <u>Uppannānam</u> kusalānam dhammānam thitiyā asammosāya bhiyyo,bhāvāya vepullāya bhāvanāya pāripūriyā chandam janeti The Comys give a slightly different listing: (1) <u>Uppannānam pāpakānam dhammānam pahānāya vāyāmo</u> (the effort to discard bad states that have arisen); (2) <u>Anuppannānam pāpakānam dhammānam anuppādāya vāyāmo</u> (the effort to prevent the arising of unarisen bad states); (3) <u>Anuppannānam kusalānam dhammānam uppādāya vāyāmo</u> (the effort to cultivate the arising of unarisen wholesome states); (4) <u>Uppannānam kusalānam dhammānam dhammānam bhiyyo,bhāvāya vāyāmo</u> (the effort to discard bad states that have arisen) (eg Abhs 7.6 = Abhs:SR 179 = Abhs:BRS 279). The first two efforts are inverted in each case.

- (3) He rouses the desire for the <u>arising</u> (*bhāvanā padhāna*) of unarisen wholesome states, and strivings, rouses effort, exerts the mind, and strives.
- (4) He rouses the desire for the <u>maintaining</u> (anurakkhanā padhāna), non-disappearance, strengthening, increase and developing to fulfillment of arisen wholesome states, and strivings, rouses effort, exerts the mind, and strives.

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

(3) The 4 bases of spiritual success³¹

- **17** Furthermore, Udāyī, I have taught my disciples the way: my disciples who practise the way cultivate **the 4 bases of spiritual success [power]** (*cattāro iddhi,pāda*).
- (1) Here, Udāyī, a monk cultivates the base of spiritual success, complete with concentration through zeal [desire to act] (*chanda*) and through determined striving.³²
- (2) He cultivates the base of spiritual success, complete with concentration through <u>effort</u> (*viriya*) and through determined striving.
- (3) He cultivates the bases of spiritual success, complete with concentration through <u>mind</u> (*citta*) and through determined striving.
- (4) He cultivates the base of spiritual success, complete with concentration through <u>investigation</u> ($v\bar{l}ma\dot{m}s\bar{a}$) and through determined striving.

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

(4) The 5 spiritual faculties

- **18** Furthermore, Udāyī, I have taught my disciples the way: my disciples who practise the way cultivate **the 5 spiritual faculties** (pañc'indriya).
- (1) Here, [12] Udāyī, a monk cultivates the spiritual faculty of faith (saddh'indriya) that leads to inner peace that leads to self-awakening.
- (2) He cultivates the spiritual faculty of effort (viriy'indriya) that leads to inner peace, that leads to self-awakening.
- (3) He cultivates the spiritual faculty of mindfulness (sat'indriya) that leads to inner peace, that leads to self-awakening.

³¹ Explained in **Iddhi,pāda Saṁyutta** (\$ 5:268 f). Other references: D 2:213 f, 3:77, 221; M 1:103, 2:11; S 4:365, 5:254-293 passim; A 1:39, 297, 2:256, 3:81 f, 4:464; Vbh 216; Pm 1:111, 113, 2:205. On a simpler level, these four bases of spiritual success power can be paraphrased as: (1) will power; (2) effort or energy; (3) mental focus; (4) reviewing one's progress. These can be applied in a more worldly sense as the four bases of success (in one's enterprises).

³² "The base of spiritual success, complete with concentration through zeal and through determined striving," *chanda,samādhi,padhāna,saṅkhāra,samannāgataṁ iddhipādaṁ*; Gethin: "the basis of success that is furnished both with concentration gained by means of desire to act, and with forces of striving" (2001:81). On *chanda* as the "desire to act," see Gethin 2001:90 f.

- (4) He cultivates the spiritual faculty of concentration (samādh'indriya) that leads to inner peace, that leads to self-awakening.
- (5) He cultivates the spiritual faculty of wisdom $(pa\tilde{n}\tilde{n}'indriya)$ that leads to inner peace, that leads to awakening.

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

(5) The 5 powers

- 19 Furthermore, Udāyi, I have taught my disciples the way: my disciples who practise the way cultivate the 5 powers (pañca bala).
- (1) Here, Udāyī, a monk cultivates the spiritual power of faith (saddhā bala) that leads to inner peace, that leads to self-awakening.
- (2) He cultivates the spiritual power of effort (viriya bala) that leads to inner peace, that leads to self-awakening.
- (3) He cultivates the spiritual power of mindfulness (sati bala) that leads to inner peace, that leads to self-awakening.
- (4) He cultivates the spiritual power of concentration (samādhi bala) that leads to inner peace, that leads to self-awakening.
- (5) He cultivates the spiritual power of wisdom (pañña bala) that leads to inner peace, that leads to awakening.

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

(6) The 7 awakening-factors

20 Furthermore, Udāyi, I have taught my disciples the way: my disciples who practise the way cultivate the 7 awakening-factors (satta bojjhanga).33

³³ The parallel between the full sāmañña,phala account of the dhyanas and the 7 bojjhanañ is striking: "he establishes mindfulness before him" (parimukham satim upatthapetvā ...) sati sambojjhanga dhamma, vicaya sambojjhanga "the monk sees that these 5 hindrances are abandoned in him; seeing that these 5 hindrances are abandoned in him" (bhikkhu ime pañca nīvaraņe pahīne attani samanupassati; tass'ime pañca nīvaraņe pahīne attani samanupassato,) (viriya sambojjhaṅaa) [Effort is made at the sati sambojjhanga level.] "gladness arises; because of gladness, zest arises; when the mind is zestful," pīti sambojjhaṅga (pāmujjam jayati; pamuditassa **pīti** jayati; **pīti**,manassa,) "the body becomes tranquil; when the body is tranquil, he knows happiness" (kāyo passaddhi sambojjhanga passambhati; passaddha,kāyo sukhaṁ vedeti;)

samādhi sambojjhanga "when he is happy, his mind is concentrated...he enters and remains in the 1st

dhyana, accompanied by initial application and sustained application. Free of initial application and sustained application, with zest and happiness born of solitude, he enters and remains in the 2nd dhyana, free from initial application and sustained application, accompanied by zest and happiness born of concentration..." (sukhino cittam **samādhiyati**...sa,vitakkam sa,vicāram viveka,jam pīti,sukham pathamam jhānam upasampajja viharati... avitakkam avicāram **samādhi,jam** pīti,sukham

dutiya-j,jhānaṁ upasampajja viharati...)

- (1) Here, Udāyī, a monk cultivates <u>the awakening-factor of mindfulness</u> (*sati sambojjhaṅga*)³⁴ that is dependent on solitude,³⁵ dependent on fading away (of lust),³⁶ dependent on cessation (of suffering),³⁷ ripening in letting go (of defilements).³⁸
- (2) He cultivates the awakening-factor of the investigation of states [dharma-discernment] (dhamma,vicaya sambojjhanga)³⁹ that is dependent on solitude, dependent on fading away, dependent on cessation, ripening in letting go.
- (3) He cultivates the awakening-factor of effort (viriya sambojjhanga) that is dependent on solitude, dependent on fading away, dependent on cessation, ripening in letting go.
- (4) He cultivates the awakening-factor of zest [joyful interest] (pīti sambojjhaṅga) that is dependent on solitude, dependent on fading away, dependent on cessation, ripening in letting go.
- (5) He cultivates the awakening-factor of tranquillity (passaddhi sambojjhanga) that is dependent on solitude, dependent on fading away, dependent on cessation, ripening in letting go.
- (6) He cultivates the awakening-factor of concentration (samādhi sambojjhaṅga) that is dependent on solitude, dependent on fading away, dependent on cessation, ripening in letting go.
- (7) He cultivates the awakening-factor of equanimity (upekkhā sambojjhaṅga) that is dependent on solitude, dependent on fading away [of lust], dependent on cessation [of suffering], ripening in letting go [of defilements].

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

(7) The noble eightfold path

21 Furthermore, Udāyi, I have taught my disciples the way: my disciples who practise the way cultivate **the noble eightfold path** (*ariy'aṭṭhaṅgika magga*).

upekkhā sambojjhanga

"and he experiences happiness with the body. He enters and remains in the 3rd dhyana, of which the noble ones declare, 'Happily he dwells happily in equanimity and mindfulness.'... He enters and dwells in the 4th dhyana, with mindfulness fully purified by equanimity" (sukhañ ca kāyena paṭisaṁvedeti yan taṁ ariyā acikkhanti upekkhako satimā sukha,vihārī' ti tatiya-j,jhānaṁ upasampajja viharati...upekkhā,-sati,pārisuddhiṁ catuttha-j,jhānaṁ upasampajja viharati.)

See Gethin 2001:170-172 for details.

³⁴ The 3 factors of investigation (dhamma,vicaya), effort (viriya) and zest ($p\bar{\imath}ti$) counteract torpor. The three factors of tranquillity (passaddhi), concentration ($sam\bar{a}dhi$) and equanimity ($upekkh\bar{a}$) counteract mental agitation. **Mindfulness** (sati) keeps the two sets of factors in a harmonious balance. For this reason, it is placed first.

- ³⁵ Here "solitude" has special reference to the overcoming of the 5 mental hindrances (pañca nīvaraṇā).
- ³⁶ "Fading away," virāga also "dispassion".
- ³⁷ "Cessation," *nirodha*, that is, "cessation of suffering".
- ³⁸ "Dependent on solitude...ripening in letting go," *viveka,nissitaṁ virāga,nissitaṁ nirodha,nissitaṁ vossagga,-pariṇāmiṁ*. On the terms here, see Gethin 2001: 165-168. MA says that there are 2 kinds of letting go or relinquishment (of suffering) (*vossagga*): "giving up" (*pariccāga*), i.e. the abandonment of defilements, and "entering into" (*pakkhandana*), ie culminating in nirvana. Gethin notes that this phrase is not uique to the 7 *bojjhaṅgā*, but is also found in connection with the factors of the noble eightfold path, the *indriyā* and *balā* (2001: 162 f). This formula shows that that each *bojjhaṅga* is to be developed successively "as dependent on solitude, dispassion [fading away] and cessation" (Gethin 2001:166).
- ³⁹ "Dharma-discernment," *dhamma,vicaya*, lit "taking apart of dharmas (mental and physical states)," also tr as "discrimination of dharmas." Awakening does not comprise in the assemblage of then 7 factors, but just one, namely, *dhamma,vicaya sambojjhaṅga* (Nm 456; Miln 83; Gethin 2001:185). Both Edgerton (BHSD) & Gethin call into question the tr of *vicaya* here as "investigation" (Gethin 2001:152 n38). See Gethin 2001:147 f, 152-154, 185.

Here. U	ldavi	

(1)	a monk cultivates	right view.	sammā diţţhi ⁴⁰
(2)	He cultivates	right thought.	sammā saṅkappa
(3)	He cultivates	right speech.	sammā vācā
(4)	He cultivates	right action.	sammā kammantā
(5)	He cultivates	right livelihood.	sammā ājīva
(6)	He cultivates	right effort.	sammā vāyāma
(7)	He cultivates	right mindfulness.	sammā sati
(8)	He cultivates	right concentration.	sammā samādhi

And in this way, many of my disciples dwell having attained to the perfection that is the peak of superknowledge.

- evam -

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⁴⁰ On why right view (sammā diṭṭhi) comes first, see Mahā Cattārīsaka S (M 117), SD 6.10.