

# 12

## Upanisā Sutta

The Discourse on Necessary Conditions | S 12.23

Theme: The mundane and supermundane dependent arisings

Translated by Piya Tan ©2003

### 1 Upanisā

#### 1.1 DEFINITIONS

**1.1.1** There are 3 texts entitled “**Upanisā Sutta**,” namely, our Sutta here, and 2 identical texts from the Aṅguttara Nikāya, dealing with how those who are evil destroy the possibilities or hinder their potential for all high attainments; but this is not the case for the righteous.<sup>1</sup> The first of the 2 Aṅguttara texts we shall call **the (Dussīla) Upanisā Sutta** (A 11.3)<sup>2</sup> and the second, attributed to Sāriputta, we shall call **the (Sāriputta) Upanisā Sutta** (A 11.4).<sup>3</sup>

**1.1.2** The term *upanisā* is also found in **the Sambodha, pakkhika Dhamma Sutta** (A 9.1), where 5 “proximate conditions” are necessary for the limbs of awakening to arise.<sup>4</sup> The term *upanisā* also refers to the working of dependent arising (*paṭicca samuppāda*), as we shall see later [SD 5.16]. [2]

**1.1.3** The Pali dictionaries define *upanisā* as follows (these excerpts have been abridged and adapted):

#### **The Critical Pali Dictionary (CPD):**

**upa-ni-sā**, f [Skt, BHS *upaniṣad*]; in Pāli a semantic blend has taken place with *upanissaya*, the contracted form of which could be \**upanissā* (cf Ke Se reading at B 20.6, sv *upanisādin*) —

1. sitting down near a teacher to listen respectfully and attentively to his words, attention (cf *upanisīdati* [A 4:387,<sub>21</sub>] and *sa~*; Comys usually explain by words for “cause”);
2. cause, condition, basis, prerequisite (cf Geiger, S tr 2:43);
3. likeness (cf BHSD *upaniṣad*);
4. proper name of various suttas; Sadd 384,<sub>15</sub>; 385,<sub>11</sub>; Abhp 1125. ...  
— 2. *Kā ... khaye ñāṇassa...vimuttiyā...~ā*, S 2:30,<sub>3-31,24</sub>; *sambodha-pakkhikānaṃ ... dhammānaṃ kā ~ā bhāvanāya*, A 4:351,<sub>12</sub> (= *ko upanissaya-paccayo*, AA; *kusalānaṃ dhammānaṃ ... kā ~ā savanāya*, Sn 140,<sub>5</sub> (= *karaṇaṃ, payojanaṃ, SnA*).

#### **A Dictionary of Pali (DP, Cone)**

**upanisā**, f [Skt *upaniṣad*, BHS also *upaniṣā*]...**2. cause, basis; condition, prerequisite**; Abhp 1125; S 3:30, <sub>3</sub> (*kā ca bhikkhave khaye ñāṇassa ~ā vimutti'ssa vacanīyaṃ*); A 4:351,<sub>12</sub> (*sambodha, pakkhikānaṃ āvuso dhammānaṃ kā ~ā bhāvanāya ti*; AA 4:162,<sub>7</sub>: *kā ~ā ti ko upanissaya, -paccayo*); Sn p 140,<sub>5</sub> (*kā ~ā savanāyā ti*); Peṭk 146,<sub>7</sub> (*tassa samudāgamaṃ ayaṃ ~ā*, Be Ce so; Ee wr *upanissā*); Sadd 385,<sub>11</sub> (*upaniṣīdati phalam etthā ti ~ā karaṇaṃ*); ...

#### **Bh Bodhi's note**

[SA] glosses *sa-upanisā* as *sa, kāraṇa, sa-p, paccaya*, “with cause, with condition.” [SAṬ] adds: *upaniṣīdati phalam etthā ti kāraṇa upanisā*, “the cause is called the proximate cause because

<sup>1</sup> A 11.3-4/5:313 f, 5:315 f.

<sup>2</sup> A 11.3/5:313 f.

<sup>3</sup> A 11.4/5:315 f.

<sup>4</sup> A 9.1/3:351-353.

the effect rests upon it.” Thus the commentators take *upanisā* to be the equivalent of Skt *upaniṣad*, not a contraction of *upanissaya*. Although, as CPD points out, “a semantic blend” with the latter takes place, the two words must be kept distinct because not everything that is an *upanisā* (proximate cause) for other things is an *upanissaya, paccaya* (decisive support condition) for those things. The latter refers solely to something which plays a strong causal role.

(S:B 746 n68)

## 1.2 TRANSLATION

**1.2.1** Lexically, or in a literal sense, *upanisā* may be translated as “immediate cause,” “proximate condition,” or “immediate condition.” But the problems here are clear: neither all the links have an *immediate* effect on its following link, nor are all of the links “proximate” to one another in terms of conditionality. For example, formations do *not* immediately lead to the arising of consciousness (surely not when we are asleep); nor is existence the proximate condition for birth. Even if we take the dependent arising as a single-life (synchronic) cycle, there is a variable time-gap separating many of the pairs of links. As such, it is not helpful, or even correct, to translate *upanisā* as “proximate” or “immediate,” albeit it is one of its senses.

**1.2.2** In the context of our Sutta, *upanisā* refers to the *necessary* manner in which one link or factor effects (or “causes”) the next (or “proximate”) one to arise. In a philosophical sense or as a technical term, it can thus be rendered as “**necessary condition**,” which works well in reflecting how each pair of links work in a “if p, then q” sequence.<sup>5</sup>

This notion of “necessary condition” is well supported by the Abhidhamma notion of *upanissaya* (“decisive support condition”), which is related to *upanisā* [1.1], and is used in 3 cases:

- (1) where a mental object (*ārammaṇa*) conditions states apprehending it to arise, ie, an “object decisive support” (*ārammaṇūpanissaya*);
- (2) where a preceding state immediately conditions the next by way of proximity (*anantara*), ie, “proximity decisive support” (*anantarūpanissaya*); and
- (3) where past physical or mental states lead to the arising of present conditioned states (such as prior lust may be a natural condition in motivating the breaking of a precept), ie, “natural decisive support” (*pakatūpanissaya*).<sup>6</sup>

**1.2.3** The relationship of “object decisive support” (*ārammaṇūpanissaya*, or necessary condition by way of mental object) is a description of mental processes involved in dependent arising. “Proximity decisive support” (*anantarūpanissaya*, or necessary condition by way of proximity) describes the conditionality of some of the links where the cause-and-effect are *immediate*, such as “contact” leading to “feeling” (a pleasant sight arouses pleasure in us). The “natural decisive support” (*pakatūpanissaya*, or necessary natural condition) describes, for example, how feeling (when unrestrained) *naturally* leads to craving, which in turn reinforces itself as clinging.

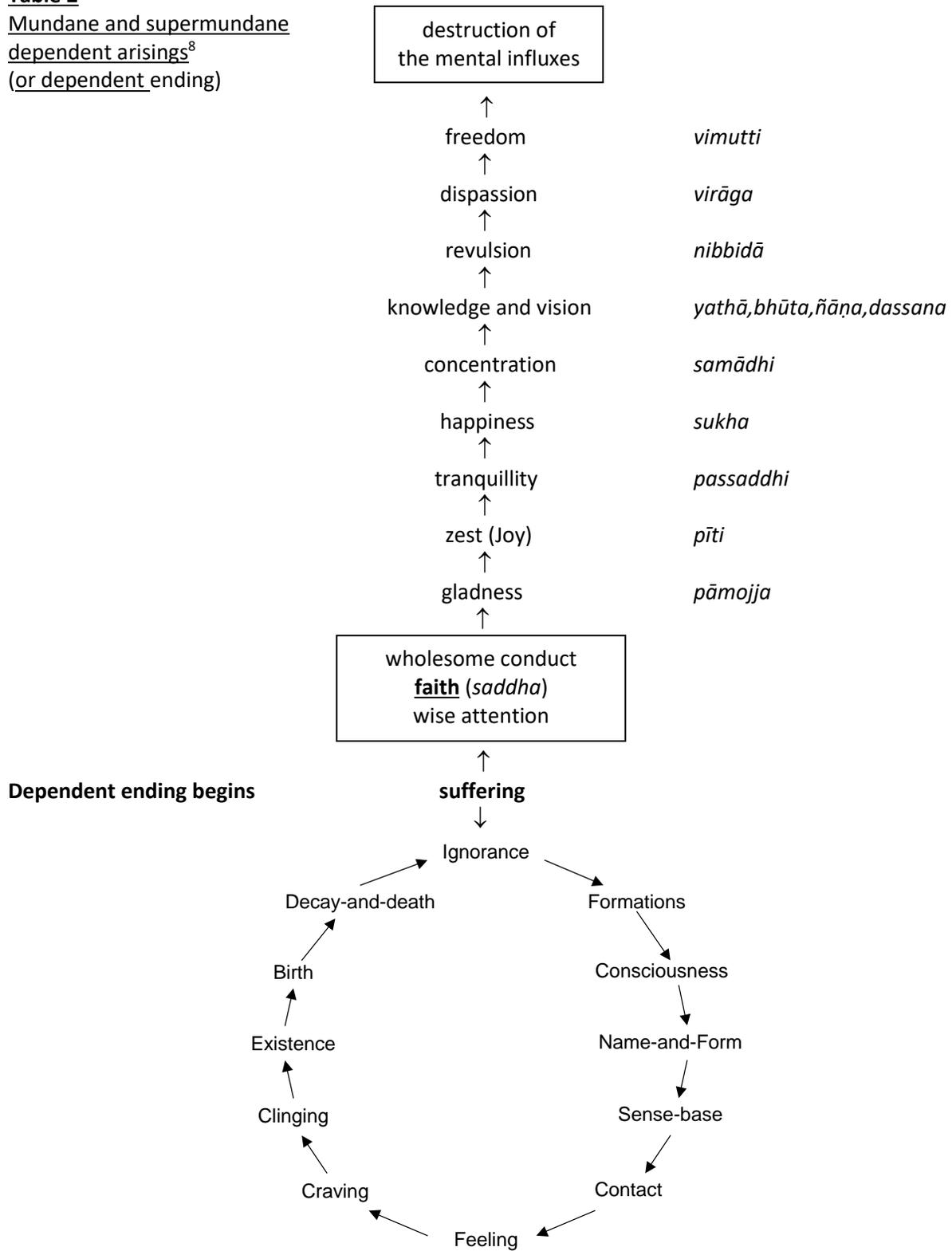
The notion of “necessity” is philosophically connected with that of “**sufficiency**,” and these are western philosophical and logical categories. As such, care must be taken in using them here. The main difficulty for students to note here is that these 2 western categories tend to be *word-based* (eg, syllogistic) or “reason-based” (rational or logical), while early Buddhist “logic” is always “truth-based.”<sup>7</sup>

<sup>5</sup> It is helpful here, to break off and read up on **Necessity and sufficiency**, SD 35.1.

<sup>6</sup> See Abhs:BRS 315 f.

<sup>7</sup> See **Necessity and sufficiency**, SD 35.1. For *upanisa* (masc) or *upanisā* (fem) in the sense of “closely attentive” (*sa, upanisa*), see **Kathā, vatthu S** (A 3.67,6) + SD 46.11 (2.5).

**Table 2**  
Mundane and supermundane  
dependent arisings<sup>8</sup>  
 (or dependent ending)



<sup>8</sup> Based on Payutto, *Dependent Origination* (tr Bruce Evans), 1994:97. Also at SD 5.16 (Table 18).

## 2 Dependent arising and ending

### 2.1 DEPENDENT ARISING (MUNDANE CYCLE)

**2.1.1** The Upanisā Sutta belongs to the famous family of suttas dealing with **dependent arising** (*paṭicca samuppāda*).<sup>9</sup> This teaching shows the causal sequence of how suffering arises and how it *ceases*, and in either case, the process may be explained either of these 2 ways:

- in the forward or “normal” sequence (*anuloma*, “following the hair”), beginning with the arising of “ignorance,” that is the 2<sup>nd</sup> noble truth (this explains the *arising* of suffering);
- in the reverse sequence (*paṭiloma*), beginning with the ending of “ignorance,” that is, the 3<sup>rd</sup> noble truth (this explains the *ending* of suffering).<sup>10</sup>

**2.1.2** Our Sutta makes use of the classic 12-link dependent arising, but with an interesting difference. It actually states the 1<sup>st</sup> link of the new cycle as that of **suffering**, which replaces the usual “decay-and-death” (*jarā, maraṇa*). This latter is simply a shorthand (synecdoche) for suffering. Thus, beginning with our most universal experience in daily life, the *upanisā* cycle moves on with a new series, leading up to **faith** (*saddhā*): this is the dependent ending series. The series then proceeds through the retrospective knowledge of freedom, confirming the destruction of the mental defilements.

**2.1.3** By linking the 2 series into a single sequence, the Sutta shows our entire worldly cycle as well as our spiritual ascent and freedom. Both these cycles—the mundane and the supermundane—reflect our involvement with the world and our engagement with the spiritual life. We understand the world (the mundane as “dependently arisen,” and the path (the supermundane) as “dependently ending” (the 3<sup>rd</sup> noble truth): both are governed by a single principle: **specific conditionality** (*idap, paccayatā*).

### 2.2 DEPENDENT ENDING (SUPERMUNDANE CYCLE)

Most suttas on dependent arising lay out the mundane cycle, that is, the arising of suffering (the 2<sup>nd</sup> noble truth), which is what normally happens to us, the unawakened. Often enough, the “reverse” cycle is also laid out, to show how suffering ends (the 3<sup>rd</sup> noble truth). So vital is this 2<sup>nd</sup> cycle—the “reverse” (*paṭiloma*)—that **the Netti-p, pakaraṇa**, a Pali work on exegesis, calls it the “supermundane [transcendental] dependent arising” (*lok’uttara paṭicca, samuppāda*).<sup>11</sup>

**The Upanisā Sutta** is, however, unique in that it gives a *positive* account of the latter process of spiritual ascent, or the dependent *ending* of suffering.<sup>12</sup> [Table 2]

### 2.3 THE 9 GREATLY HELPFUL STATES

Although the set of 11 factors of the supermundane cycle or **dependent ending**, as presented in the Upanisā Sutta [§5-14; Diag 2], is unique to the Sutta, we can easily see how they are related to other

<sup>9</sup> See (**Kāya**) **Na Tumha S** (S 12.37), (**Paṭicca, samuppāda**) **Vibhaṅga S** (S 12.2), **Dependent arising** (SD 5.16), and **Mahā Nidāna S** (M 15), SD 5.14-17 respectively.

<sup>10</sup> On *anuloma* and *paṭiloma*, the mundane and supermundane cycles of dependent arising, see **Necessity and sufficiency**, SD 35.1 (5.3).

<sup>11</sup> Nett 388/67. See Bhikkhu Bodhi, *Transcendental Dependent Arising*, Kandy: Buddhist Publication Society, 1980; also Gethin 1998:157-159.

<sup>12</sup> See SD 59.17 (1.1.3).

Dharma models, especially those of “the 9 states of great help (towards awakening)” (*nava dhammā bahu,kāra*)<sup>13</sup> and the 7 awakening-factors.<sup>14</sup>

Their relationship can be tabulated as follows:

### The 9 states of great help

Moral virtue = sense-restraint<sup>16</sup>

Wise attention (*yoniso manasikāra*)

1. Gladness [Joy] (*pāmuḍḍa*)
2. Zest (*pīṭi*)
3. Bodily stillness (*passaddha,kāya*)
4. Happiness (*sukha,citta*)
5. Mental concentration (*samādhi*)
6. Insight into reality [*vipassanā*]
7. Revulsion (*nibbidā*)
8. Fading away (of lust) (*virāga*)
9. Freedom (*vimutti*)

### The 7 awakening-factors<sup>15</sup>

1. Mindfulness (*sati*)
2. Mental investigation (*dhamma,vicaya*)
3. Effort (*virīya*)
4. Zest (*pīṭi*)
5. Tranquillity (*passaddhi*)
6. Concentration (*samādhi*)
7. Equanimity (*upekkhā*)

When we have some level of spiritual understanding the true nature of suffering, we feel faith arising in us through wisely considering impermanence within ourselves and in all conditioned things. This effort in practice leads to gladness or joy, a weak kind of zest, which in due course intensifies into full-blown zest, which in turn brings about bodily stillness or tranquillity. Happiness (total bodily stillness and gladness) arises, and becomes the basis for mental concentration.

With such a calm and clear mind, we begin to gain the knowledge and vision into true reality. Such a knowledge and vision into the true reality arouse revulsion towards their impermanence and suffering. We become less attracted to them through dispassion. As we see more fully the true nature of emptiness or non-self of things, we see freedom, which blossoms into the destruction of the mental influxes, that is, the attainment of arhathood.<sup>17</sup>

## 3 Related suttas

**3.1** The opening paragraphs of the Upanisā Sutta [§§1-3] are also found in **the Vāsi,jāṭa Sutta** (S 22.101),<sup>18</sup> but with a different sequel. Section 3 of our Sutta also contains the stock meditation formula on the 5 aggregates, found in a number of other suttas<sup>19</sup> and in the 2 Satipaṭṭhāna Suttas.<sup>20</sup>

<sup>13</sup> **Das’uttara S** (D 34,2.2(1)/3:288).

<sup>14</sup> For a study, see **Nibbidā**, SD 20.1 (4.2).

<sup>15</sup> For a study, see **Nibbidā**, SD 20.1 (4.2).

<sup>16</sup> **Pamāda Vihārī S** (S 35.97), SD 35.97, puts sense-restraint (*indriya,samvara*) first, while (**Dasaka**) **Cetanākaraṇīya S** (A 10.2), SD 41.6, puts moral virtue (*sīla*) here. In practice, they are the same, the difference is mainly in emphasis: moral virtue focusses externally on the precepts while sense-restraint works internally on the mindfulness and wise attention behind the precepts. We may say that both moral virtue and wise attention here are included in the mindfulness of the 7 awakening-factors.

<sup>17</sup> For a fuller study, see **Nibbidā**, SD 20.1 (4).

<sup>18</sup> S 22.101,1-4/3:152 f, “The Adze Handle” Discourse; also called **Nāvā S** (The Ship); Se *vāsiṭṭha sutta*.

<sup>19</sup> For example, **Upanisā S** (S 12.23,3/2:29), **Sīha S** (S 22.78,7/3:85), **Khemaka S** (S 22.89,23/3:130 f), **Vāsi,jāṭa S** (S 22.101,4/3:152 f).

The point that faith arises through suffering [§15] should be studied with **the Pubba,koṭṭhaka Sutta** (S 48.44),<sup>21</sup> especially the note on “wise faith” below.

**3.2 The water-cycle parable** [§27] is well known and is applied in different ways in other suttas.<sup>22</sup> The simile has a sutta of its own, **the (Āsava) Vassa Sutta** (S 55.38), illustrating the nature of the 4 limbs of streamwinning (*sotāpatti-y-aṅga*):<sup>23</sup>

Even so, bhikshus, for the noble disciple these things—wise faith<sup>24</sup> in the Buddha, wise faith in the Dharma, wise faith in the Sangha, and the virtues dear to the noble ones<sup>25</sup>—flow onwards and, having gone beyond, they lead to the destruction of the influxes.<sup>26</sup> (S 55.38/5:396)

**3.3 The Parisā Sutta** (A 3.93) uses the water-cycle parable to show how spiritual friendship builds up positive qualities leading to mental concentration:

Even so, bhikshus, when the monks dwell together in concord, in mutual appreciation, without disputing, blending like milk and water, looking at each other with kindly eyes.<sup>27</sup>

At such time, they generate much merit. At such time, they dwell in the divine life, that is to say, they rejoice in the freedom of mind.<sup>28</sup> On account of gladness, zest is born. On account of

<sup>20</sup> D 22,14/2:301,29-302,13; M 10,38/1:61,3-8.

<sup>21</sup> S 48.44/5:220-222 @ SD 10.7 (1). See also **Āpaṇa S** (S 48.50), SD 10.4(2.3) & diag 2.

<sup>22</sup> See eg, **Upanisā S** (S 12.23,27/2:31), **Vassa S** (S 55.38,2/5:396); **Parisā S** (A 3.93,5/1:243), **Dutiya Kāla S** (A 4.147,2/2:140), **(Āhāra) Avijjā S** (A 10.61,3/5:114, 10.61,6/3:115 f), **(Āhāra) Taṇhā** (A 10.62,3/3:117, 10.62,6/3:119).

<sup>23</sup> **Sotāpatti-y-aṅgāni**, alt tr “limbs of streamwinning” (D 33.1.11(13)/3:227; Pm 2:189 f). These are preliminary practices that lead to the attainment of streamwinning. In **Paññā,vuḍḍhi S** (A 5.246) these same 4 qualities are called *vuḍḍhi, dhamma*, “virtues conducive to growth” (A 5.246/2:245); cf the 5 factors of noble growth (*ariya, -vuḍḍhi*), A 3:80. See SD 3.3(3). Cf *sotāpannessa aṅgāni* in **Pañca, bhera, bhaya S** (S 12.41/2:68-70), SD 3.3.4(2).

<sup>24</sup> “**Faith**,” *saddhā*. There are 2 kinds of faith (*saddhā*): (1) “rootless faith” (*amūlaka, saddhā*), baseless or irrational faith, blind faith. (M 2:170); (2) “faith with a good cause” (*ākāravati, saddhā*), faith founded on seeing (M 1:320,8 401,23); also called *avecca-p, pasāda* (S 12.41,11/2:69). “Wise faith” is syn with (2). *Amūlaka* = “not seen, not heard, not suspected” (V 2:243 3:163 & Comy). **Gethin** speaks of two kinds of faith: the cognitive and the affective (eg, ERE: Faith, & Jayatilleke, *Early Buddhist Theory of Knowledge*, 1963:387): “Faith in its cognitive dimension is seen as concerning belief in propositions or statements of which one does not—or perhaps cannot—have knowledge proper (however that should be defined); cognitive faith is a mode of knowing in a different category from that knowledge. Faith, in its affective dimension, is a more straightforward positive response to trust or confidence towards something or somebody ... the conception of *saddhā* in Buddhist writings appears almost, if not entirely affective, the cognitive element is completely secondary.” (Gethin 2001:107; my emphases).

<sup>25</sup> “Virtues dear to the noble ones,” *ariya, kantāni silāni*. The virtues of the noble one are explained at Vism 221 f. SA says that the noble ones do not violate the Five Precepts; hence, these virtues are dear to them (SA 2:74).

<sup>26</sup> “**Mental influxes**,” *āsava*. The term *āsava* (lit “in-and-out-flow”) comes from *ā-savati* “flows towards” (ie, either “into” or “out” towards the observer). It has been variously translated as influxes, taints (“deadly taints”, RD), corruptions, intoxicants, biases, depravity, misery, evil (influence), or simply left untr. The Abhidhamma lists four *āsava*: the influx of (1) sense-desire (*kām’āsava*), (2) (desire for eternal) existence (*bhav’āsava*), (3) views (*diṭṭh’āsava*), (4) ignorance (*avijjāśava*) (D 16,2.4, Pm 1.442, 561, Dhs §§1096-1100, Vbh §937). These 4 are also known as “floods” (*ogha*) and “yokes” (*yoga*). The list of 3 influxes (omitting the influx of views) is probably older and is found more frequently in the Suttas (D 3:216, 33.1.10(20); M 1:55, 3:41; A 3.59, 67, 6.63). The destruction of these *āsavas* is equivalent to arhathood. See BDict: *āsava*.

<sup>27</sup> King Pasenadi uses similar words in praise of the sangha in **Dhamma, cetiya S** (M 89,11/2:120 f). This is stock: M 1:206, 398, 3:156; A 1:70, 3:67, 104; S 4:225.

zest, the body becomes tranquil. The tranquil body feels happiness. A happy mind becomes concentrated. (A 3.93,5/1:243), SD 64.18

**3.4 The Avijjā Sutta** (A 10.61) applies a causal scheme similar to that found in the Upanisā Sutta to show how ignorance begins with not associating with “true persons” (*sappurisā*). The water-cycle parable of the (Āhāra) Avijjā Sutta is used in a negative sense to show how this lack of wisdom builds up.<sup>29</sup>

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## Upanisā Sutta

### The Discourse on Necessary Conditions

S 12.23

[29]

1 (The Buddha was) dwelling at Sāvattthī.

#### The 5 aggregates

2 “Bhikshus, **the destruction of the influxes**<sup>30</sup> is for one who knows and sees, I say, not for one who does not know and does not see.

3 And, bhikshus, for one, knowing what, seeing what, is there the destruction of influxes?  
 ‘Such is **form**, such is the arising of form, such is the passing away of form.  
 Such is **feeling**, such is the arising of *feeling*, such is the passing away of *feeling*.  
 Such is **perception**, such is the arising of *perception*, such is the passing away of *perception*.  
 Such are **formations**, such is the arising of *formations*, such is the passing away of *formations*.  
 Such is **consciousness**, such is the arising of consciousness, such is the passing away of *consciousness*.’  
 —Thus, bhikshus, the destruction of the influxes is for one who knows in this way and sees in this way.

[30]

4 “The knowledge of this destruction in regards to the destruction (of the influxes) has a **necessary condition**,<sup>31</sup> I say. It is not without a necessary condition.

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<sup>28</sup> “**The freedom of mind**,” *ceto, vimutti*. Here used in a restricted sense, referring to the temporary suppression of the 5 mental hindrances: sensual lust, ill will, restlessness and worry, sloth and torpor, and spiritual doubt. The mind here is usually applied to the cultivation of the 4 divine abodes (*brahma, vihāra*), ie, lovingkindness, compassion, gladness and equanimity.

<sup>29</sup> A 10.61,3/5:114 (SD 31.10).

<sup>30</sup> “The knowledge of destruction in regards to the destruction (of the influxes)” (*khayasmiṃ khaye ñāṇaṃ*) is re-view knowledge (*paccavekkhaṇa, ñāṇa*), which occurs at the time of the destruction of the influxes, ie, arhathood: see Vism 22.19-21/676 & S:B 433 n376.

<sup>31</sup> “Necessary cause,” *upanisā*: see (1).

## The supermundane or freedom cycle

5 And what, bhikshus, is the necessary condition for **the knowledge of the destruction** (*khaya*) (of the influxes)?<sup>32</sup>

It should be said: **freedom** (*vimutti*).<sup>33</sup>

Freedom, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

6 And what, bhikshus, is the necessary condition for *freedom*?

It should be said: **dispassion** (*virāga*).

Dispassion, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

7 And what, bhikshus, is the necessary condition for *dispassion*?

It should be said: **revulsion [disenchantment]** (*nibbidā*).

Revulsion, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

8 And what, bhikshus, is the necessary condition for *revulsion*?

It should be said: **the knowledge and vision of true reality** (*yathā, bhūta, ñāna, dassana*).

The knowledge and vision of true reality, bhikshus, have a necessary condition, too, I say.

They are not without a necessary condition.

9 And what, bhikshus, is the necessary condition for *the knowledge and vision of true reality*?

It should be said: **concentration** (*samādhi*).

Concentration, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

10 And what, bhikshus, is the necessary condition for *concentration*?

It should be said: **happiness** (*sukha*).

Happiness, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

11 And what, bhikshus, is the necessary condition for *happiness*?

It should be said: **tranquillity** (*passaddhi*).

Tranquillity, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

12 And what, bhikshus, is the necessary condition for *tranquillity*?

It should be said: **zest** (*pīti*).

Zest, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

13 And what, bhikshus, is the necessary condition for *zest*?

It should be said: **gladness** (*pāmojja*).

Gladness, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

14 And what, bhikshus, is the necessary condition for *gladness*?

It should be said: **faith** (*saddhā*).

Faith, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition. [31]

<sup>32</sup> For the full sequence, see [2], Diag 2 + SD 59.17 (1.1.3).

<sup>33</sup> *Vimutti'ssa vacanīyaṃ*. Comy: Having brought the teaching to its climax in arhathood, the Buddha next shows the preliminary practice that the arhat has taken.

## The mundane or worldly cycle

**15** And what, bhikshus, is the necessary condition for *faith*?

It should be said: **suffering** (*dukkha*).<sup>34</sup>

Suffering, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**16** And what, bhikshus, is the necessary condition for *suffering*?

It should be said: **birth** (*jāti*).

Birth, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**17** And what, bhikshus, is the necessary condition for *birth*?

It should be said: **existence** (*bhava*).

Existence, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**18** And what, bhikshus, is the necessary condition for *existence*?

It should be said: **clinging** (*upādāna*).

Clinging, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**19** And what, bhikshus, is the necessary condition for *clinging*?

It should be said: **craving** (*taṇhā*).

Craving, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**20** And what, bhikshus, is the necessary condition for *craving*?

It should be said: **feeling** (*vedanā*).

Feeling, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**21** And what, bhikshus, is the necessary condition for feeling?

It should be said: **contact** (*phassa*).

Contact, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**22** And what, bhikshus, is the necessary condition for *contact*?

It should be said: **the 6 sense-bases** (*saḷāyatana*).

The 6 sense-bases, bhikshus, have a necessary condition, too, I say.

They are not without a necessary condition.

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<sup>34</sup> Comy glosses the terms in the above sequence thus (starting from the end): **Suffering** is the suffering of the round of rebirth and redeaths (*vaṭṭa, dukkha*). **Faith** (*saddhā*) is repeatedly arising faith (*aparāparaṃ uppajjana, -saddhā*; ie, tentative faith, not the unwavering faith of a noble disciple). **Joy** (*pāmojja*) is weak zest. **Zest** (*pīti*) itself is strong zest. **Tranquillity** (*passaddhi*) is the settling of distress, a condition for the happiness preliminary to dhyāna. **Joy** (*sukha*) is the happiness in the preliminary phase of dhyāna. **Concentration** (*samādhi*) is the dhyāna used as a basis (for insight: *pādaka-j, jhāna, samādhi*). **Knowledge and vision of reality** (*yathā, bhūta, ñāṇa, dassana*) are weak insight, viz, the knowledges of the discernment of formations, of the overcoming of doubt, of examining (of the 3 characteristics) (*sammasana*), and of what is and what is not the path [Vism ch 18-20]. **Revulsion** (*nibbidā*) is strong insight, namely, the knowledge of appearance as fearful, the contemplation of danger (of evil), of reflection, and of equanimity regarding formations [Vism 21.29-66/645-647]. **Dispassion** (*virāga*) is the path that arises, destroying defilements (SA 2:53 f). Here I have followed Bodhi's sequence rather than Comy's reverse sequence. Note, too, that "suffering" replaces "decay-and-death" of the usual formula.

**23** And what, bhikshus, is the necessary condition for *the 6 sense-bases*?

It should be said: **name-and-form** (*nāma,rūpa*).

Name-and-form, bhikshus, has a necessary condition, too, I say.

It is not without a necessary condition.

**24** And what, bhikshus, is the necessary condition for *name-and-form*?

It should be said: **consciousness** (*viññāṇa*).

Consciousness, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

**25** And what, bhikshus, is the necessary condition for *consciousness*?

It should be said: **formations** (*saṅkhārā*).

Formations, bhikshus, have a necessary condition, too, I say.

They are not without a necessary condition.

**26** And what, bhikshus, is the necessary condition for *formations*?

It should be said: **ignorance**.

Ignorance, bhikshus, has a necessary condition, too, I say. It is not without a necessary condition.

#### 26.2 THE MUNDANE CYCLE: DEPENDENT ARISING

Thus, bhikshus,

ignorance	is the necessary condition for	formations,
formations	are the necessary condition for	consciousness,
consciousness	is the necessary condition for	name-and-form,
name-and-form	is the necessary condition for	the 6 sense-bases,
the 6 sense-bases	are the necessary condition for	contact,
contact	is the necessary condition for	feeling,
feeling	is the necessary condition for	craving,
craving	is the necessary condition for	clinging,
clinging	is the necessary condition for	existence,
existence	is the necessary condition for	birth,
birth	is the necessary condition for	suffering,
<b>suffering</b>	is the necessary condition for	faith, <sup>35</sup>

#### 26.3 THE SUPERMUNDANE CYCLE: DEPENDENT ENDING

faith	is the necessary condition for	gladness,
gladness	is the necessary condition for	zest,
zest	is the necessary condition for	tranquillity,
tranquillity	is the necessary condition for	happiness,
happiness	is the necessary condition for	concentration,
concentration	is the necessary condition for	knowledge and vision of true reality,
knowledge and vision of true reality	are the necessary condition for	revulsion [disenchantment],
revulsion	is the necessary condition for	dispassion [letting go],
dispassion	is the necessary condition for	freedom,
freedom	is the necessary condition for	the knowledge of the destruction (of the influxes).

#### THE WATER-CYCLE PARABLE

<sup>35</sup> Note that here “suffering” replaces “decay-and-death” of the usual formula.

**27** Bhikshus, just as when the rains fall in huge drops on the mountain-tops, the waters, flowing down along the slopes, fill the mountain clefts, gullies and streams.

When the mountain clefts, gullies and streams are full,	they fill the ponds.
When the ponds are full,	they fill the lakes.
When the lakes are full,	they fill the rivulets.
When the rivulets are full,	they fill the rivers.
When the rivers are full,	they fill the great ocean. <sup>36</sup> [32]

DEPENDENT ARISING:

**28** In the same way, bhikshus,

ignorance	is the necessary condition for	formations,
formations	are the necessary condition for	consciousness,
consciousness	is the necessary condition for	name-and-form,
name-and-form	is the necessary condition for	the 6 sense-bases,
the 6 sense-bases	are the necessary condition for	contact,
contact	is the necessary condition for	feeling,
feeling	is the necessary condition for	craving,
craving	is the necessary condition for	clinging,
clinging	is the necessary condition for	existence,
existence	is the necessary condition for	birth,
birth	is the necessary condition for	suffering,
suffering	is the necessary condition for	faith,

28.2 DEPENDENT ENDING:

faith	is the necessary condition for	gladness,
gladness	is the necessary condition for	zest,
zest	is the necessary condition for	tranquillity,
tranquillity	is the necessary condition for	happiness,
happiness	is the necessary condition for	concentration,
concentration	is the necessary condition for	knowledge and vision of true reality,
knowledge and vision of true reality	are the necessary condition for	revulsion,
revulsion	is the necessary condition for	dispassion,
dispassion	is the necessary condition for	freedom,
freedom	is the necessary condition for	the knowledge of the destruction (of the influxes).

— evaṃ —

<sup>36</sup> This water-cycle parable is stock: **Upanisā S** (S 12.23,27/2:31), **Vassa S** (S 55.38,2/5:396); **Parisā S** (A 3.93,5-/1:243), **Dutiya Kāla S** (A 4.147,2/2:140), **(Āhāra) Avijjā S** (A 10.61,3/5:114, 10.61,6/3:115 f), **(Āhāra) Taṇhā** (A 10.62,3/5:117, 10.62,6/5:119). See Intro (3) above.

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